Three Series for the Generalized Golden Mean

Kevin Hare Pure Mathematics University of Waterloo Waterloo, ON N2L 3G1 Canada kghare@uwaterloo.ca

Helmut Prodinger Department of Mathematical Sciences Stellenbosch University 7602 Stellenbosch South Africa hproding@sun.ac.za

> Jeffrey Shallit Computer Science University of Waterloo Waterloo, ON N2L 3G1 Canada shallit@cs.uwaterloo.ca

> > January 23, 2014

Abstract

As is well-known, the ratio of adjacent Fibonacci numbers tends to $\phi = (1 + \sqrt{5})/2$, and the ratio of adjacent Tribonacci numbers (where each term is the sum of the three preceding numbers) tends to the real root η of $X^3 - X^2 - X - 1 = 0$. Letting α_n denote the corresponding ratio for the generalized Fibonacci numbers, where each term is the sum of the *n* preceding, we obtain rapidly converging series for α_n , $1/\alpha_n$, and $1/(2-\alpha_n)$.

1 Introduction

The Fibonacci numbers are defined by the recurrence

$$F_i = F_{i-1} + F_{i-2}$$

with initial values $F_0 = 0$ and $F_1 = 1$. The well-known Binet formula (actually already known to de Moivre) expresses F_i as a linear combination of the zeroes $\phi \doteq 1.61803 > 0 > \hat{\phi}$ of the characteristic polynomial of the recurrence $X^2 - X - 1$:

$$F_i = \frac{\phi^i - \hat{\phi}^i}{\phi - \hat{\phi}}.$$

Here the number $\phi = \frac{\sqrt{5}+1}{2}$ is popularly referred to as the golden mean or golden ratio.

Similarly, the "Tribonacci" numbers (the name is apparently due to Feinberg [3]; also see [9]) are defined by

$$T_i = T_{i-1} + T_{i-2} + T_{i-3}$$

with initial values $T_0 = T_1 = 0$ and $T_2 = 1$. Here we also have that T_i is a linear combination of $\eta_1^i, \eta_2^i, \eta_3^i$, where η_1, η_2, η_3 are the zeroes of the characteristic polynomial $X^3 - X^2 - X - 1$; see, e.g., [10]. Here

$$\eta_1 = \frac{1}{3} \left(1 + \sqrt[3]{19 + 3\sqrt{33}} + \sqrt[3]{19 - 3\sqrt{33}} \right)$$

is the only real zero and $\eta_1 \doteq 1.839$.

The "Tetranacci" (aka "Tetrabonacci", "Quadranacci") numbers are defined analogously by

$$A_i = A_{i-1} + A_{i-2} + A_{i-3} + A_{i-4}$$

with initial values $A_0 = A_1 = A_2 = 0$ and $A_3 = 1$. Once again, the A_i can be expressed as a linear combination of the zeroes of the characteristic polynomial $X^4 - X^3 - X^2 - X - 1$; see, for example [6].

More generally, we can define the generalized Fibonacci sequence of order n by

$$G_i^{(n)} = G_{i-1}^{(n)} + \dots + G_{i-r}^{(n)}$$

with appropriate initial terms. Here the associated characteristic polynomial is $X^n - X^{n-1} - \cdots - X - 1$. As is well-known [7, 8], this polynomial has a single positive zero α_n , which is strictly between 1 and 2. (The other zeroes are discussed in [12].) Table 1 gives decimal approximations of the first few dominant zeroes. Furthermore, as Dresden has shown [1, Theorem 2], knowledge of α_n suffices to compute the *i*'th generalized Fibonacci number of order *n*.

n	$lpha_n$
2	1.61803398874989484820
3	1.83928675521416113255
4	1.92756197548292530426
5	1.96594823664548533719
6	1.98358284342432633039
7	1.99196419660503502110
8	1.99603117973541458982
9	1.99802947026228669866
10	1.99901863271010113866

Table 1: Generalized golden means

It is natural to wonder how the generalized golden means α_n behave as $n \to \infty$. Dubeau [2] proved that $(\alpha_n)_{n\geq 2}$ is an increasing sequence that converges to 2. In fact, it is not hard to show, using the binomial theorem, that

$$2 - \frac{1}{2^n - \frac{n}{2} - \frac{n^2}{2^n}} < \alpha_n < 2 - \frac{1}{2^n - \frac{n}{2}}$$

for $n \ge 2$; see [5].

In this paper, we give three series that approximate α_n , $1/\alpha_n$, and $1/(2 - \alpha_n)$ to any desired order. Remarkably, all three have similar forms.

Theorem 1. Let $n \ge 2$, and define $\alpha = \alpha_n$, the positive real zero of $X^n - X^{n-1} - \cdots - X - 1$. Let $\beta = 1/\alpha$. Then

(a)

$$\beta = \frac{1}{2} + \frac{1}{2} \sum_{k \ge 1} \frac{1}{k} \binom{k(n+1)}{k-1} \frac{1}{2^{k(n+1)}}.$$

(b)

$$\alpha = 2 - 2\sum_{k\geq 1} \frac{1}{k} \binom{k(n+1) - 2}{k-1} \frac{1}{2^{k(n+1)}}.$$

(c)

$$\frac{1}{2-\alpha} = 2^n - \frac{n}{2} - \frac{1}{2} \sum_{k \ge 1} \frac{1}{k} \binom{k(n+1)}{k+1} \frac{1}{2^{k(n+1)}}$$

The proof is given in the next three sections. Our main tool is the classical Lagrange inversion formula; see, for example, [4, §A.6, p. 732]:

Theorem 2. Let $\Phi(t)$ and f(t) be formal power series with $\Phi(0) \neq 0$, and suppose $t = z\Phi(t)$. If $\Phi(0) \neq 0$, we can write t = t(z) as a formal power series in z. Then

(a)
$$[z^k]t = \frac{1}{k}[t^{k-1}](\Phi(t))^k;$$

(b) $[z^k]f(t) = \frac{1}{k}[t^{k-1}]f'(t)(\Phi(t))^k;$

where, as usual, $[z^k]t$ (resp., $[z^k]f(t)$) denotes the coefficient of z^k in the series for t (resp., f(t)).

2 A series for β

In this section, we will prove Theorem 1 (a), namely:

$$\beta = \frac{1}{2} + \frac{1}{2} \sum_{k \ge 1} \frac{1}{k} \binom{k(n+1)}{k-1} \frac{1}{2^{k(n+1)}}.$$

Proof. From

$$\alpha^n = \alpha^{n-1} + \dots + \alpha + 1$$

 $(1-\alpha)\alpha^n = 1 - \alpha^n$

we get

and hence

$$\alpha^{n+1} - 2\alpha^n + 1 = 0. \tag{1}$$

Recalling that $\beta = 1/\alpha$ we get

$$\beta = \frac{1}{2} + \frac{1}{2}\beta^{n+1}.$$
 (2)

Let $\Phi(t) = (t + \frac{1}{2})^{n+1}$ and

$$t = z\Phi(t),\tag{3}$$

as in the hypothesis of Theorem 2. We notice that $t = \beta - \frac{1}{2}$ and $z = \frac{1}{2}$ is a solution to Eq. (3), as shown in Eq. (2). From the Lagrange inversion formula and the binomial theorem, we get

$$[z^{k}]t = \frac{1}{k}[t^{k-1}]\left(t + \frac{1}{2}\right)^{k(n+1)} = \frac{1}{k}\binom{k(n+1)}{k-1}\frac{1}{2^{k(n+1)+1-k}}$$

 So

$$t = \sum_{k \ge 1} \frac{1}{k} \binom{k(n+1)}{k-1} \frac{1}{2^{k(n+1)+1-k}} z^k.$$

In particular, at $z = \frac{1}{2}$ and $t = \beta - \frac{1}{2}$, we get

$$\beta = \frac{1}{2} + \frac{1}{2} \sum_{k \ge 1} \frac{1}{k} \binom{k(n+1)}{k-1} \frac{1}{2^{k(n+1)}},$$

as required.

r	-	-	-	
L				
L				
L				

3 A series for α

In this section, we will prove Theorem 1 (b), namely:

$$\alpha = 2 - 2\sum_{k \ge 1} \frac{1}{k} \binom{k(n+1) - 2}{k - 1} \frac{1}{2^{k(n+1)}}.$$

 $\alpha^n(\alpha - 2) + 1 = 0$

This formula was previously discovered in 1998 by Wolfram [11, Theorem 3.9].

Proof. From (1) we get

and so

$$2 - \alpha = \alpha^{-n}.$$
 (4)

Let $\Phi(t) = (1 - \frac{t}{2})^{-n}$ and

as in the hypothesis of Theorem 2. We observe that $t = 2 - \alpha$ and $z = 2^{-n}$ is a solution, as shown in Eq. (4). Using the Lagrange inversion formula again, we find

 $t = z\Phi(t)$

$$[z^{k}]t = \frac{1}{k}[t^{k-1}]\left(1 - \frac{t}{2}\right)^{-kn} = \frac{1}{k}\binom{k(n+1) - 2}{k-1}\frac{1}{2^{k-1}}.$$

Therefore

$$t = \sum_{k \ge 1} \frac{1}{k} \binom{k(n+1) - 2}{k-1} z^k \frac{1}{2^{k-1}}.$$

In particular, evaluating this at $t = 2 - \alpha$ and $z = 2^{-n}$ gives

$$2 - \alpha = \sum_{k \ge 1} \frac{1}{k} \binom{k(n+1) - 2}{k-1} 2^{-nk} \frac{1}{2^{k-1}},$$

or

$$\alpha = 2 - 2\sum_{k\geq 1} \frac{1}{k} \binom{k(n+1)-2}{k-1} \frac{1}{2^{k(n+1)}},$$

giving us a series for α .

4 A series for $1/(2-\alpha)$

In this section we will prove Theorem 1 (c), namely:

$$\frac{1}{2-\alpha} = 2^n - \frac{n}{2} - \frac{1}{2} \sum_{k \ge 1} \frac{1}{k} \binom{k(n+1)}{k+1} \frac{1}{2^{k(n+1)}}.$$

Proof. Define

$$S(z) = -\frac{1}{2} \sum_{k \ge 1} \frac{1}{k} z^k [t^{k+1}] (1+t)^{k(n+1)}.$$

At $z = 2^{-(n+1)}$, this gives

$$S(1/2^{n+1}) = -\frac{1}{2} \sum_{k \ge 1} \frac{1}{k} \binom{k(n+1)}{k+1} \frac{1}{2^{k(n+1)}}.$$

Hence it suffices to show that

$$S(1/2^{n+1}) = -2^n + \frac{n}{2} + \frac{1}{2-\alpha}.$$

We see from Eq. (4) that

$$\frac{2}{\alpha} - 1 = \alpha^{-n-1} \tag{5}$$

Let $t = z\Phi(t)$ as before. Further let

$$\Phi(t) = (1+t)^{n+1}, \quad f'(t) = -\Phi^{-2}.$$

We see that $z = 1/2^{n+1}$ and $t = \frac{2}{\alpha} - 1$ is a solution to $t = z\Phi(t)$ by Eq. (5). To get a series for $1/(2 - \alpha)$, we start from the Lagrange inversion formula, part (b), to get

$$f(t) = f(0) + \sum_{k \ge 1} \frac{1}{k} z^k [t^{k-1}] (\Phi(t))^k f'(t).$$

Differentiating with respect to z gives

$$\frac{d}{dz}f(t) = \frac{dt}{dz} \cdot f'(t) = \sum_{k \ge 1} z^{k-1} [t^{k-1}] (\Phi(t))^k f'(t).$$

Using $t = z\Phi(t)$ we see that $\frac{dt}{dz} = \frac{\Phi(t)^2}{\Phi(t) - \Phi'(t)}$. This gives us

$$\begin{aligned} \frac{\Phi^2}{\Phi - t\Phi'} \cdot f'(t) &= \sum_{k \ge 1} z^{k-1} [t^{k-1}] (\Phi(t))^k f'(t) \\ &= [t^0] \Phi(t) f'(t) + z^1 [t^1] (\Phi(t))^2 f'(t) + \sum_{k \ge 1} z^{k+1} [t^{k+1}] (\Phi(t)^k) (\Phi(t))^2 f'(t). \end{aligned}$$

Using the fact that $f'(t) = -\frac{1}{\Phi^2}$ we get

$$-\frac{1}{\Phi - t\Phi'} = -1 - \sum_{k \ge 1} z^{k+1} [t^{k+1}] (\Phi(t))^k.$$

Observing that $S'(z) = -\frac{1}{2} \sum_{k \ge 1} z^{k-1} [t^{k+1}](1+t)^{k(n+1)}$, this simplifies to

$$2z^2 S'(z) = 1 - \frac{1}{\Phi - t\Phi'}.$$

Thus

$$S'(z) = \frac{1}{2z^2} - \frac{1}{\Phi - t\Phi'} \frac{\Phi^2}{2t^2}$$

 \mathbf{SO}

$$S(z) = -\frac{1}{2z^1} - \int \frac{1}{\Phi - t\Phi'} \frac{\Phi^2}{2t^2} dz = -\frac{1}{2z} - \int \frac{\Phi - t\Phi'}{\Phi^2} \frac{1}{\Phi - t\Phi'} \frac{\Phi^2}{2t^2} dt$$

and

$$S(z) = -\frac{1}{2z} - \int dt \frac{1}{2t^2} = -\frac{1}{2z} + \frac{1}{2t} + C.$$

In order to compute the integration constant C, we note that S(0) = 0. Then

$$C = \frac{1}{2} \lim_{z \to 0} \left[\frac{1}{z} - \frac{1}{t} \right] = \frac{1}{2} \lim_{t \to 0} \frac{\Phi - 1}{t} = \frac{1}{2} \lim_{t \to 0} \frac{(1+t)^{n+1} - 1}{t} = \frac{n+1}{2}$$

and

$$S(z) = -\frac{1}{2z} + \frac{1}{2t} + \frac{n+1}{2}.$$

Evaluating at $z = 1/2^{n+1}$ and $t = \frac{2}{\alpha} - 1$ we have

$$S(1/2^{n+1}) = -\frac{1}{2z} + \frac{1}{2t} + \frac{n+1}{2} = -2^n + \frac{\alpha}{2(2-\alpha)} + \frac{n+1}{2} = -2^n + \frac{1}{2-\alpha} + \frac{n}{2},$$

as required.

5 Speed of convergence

The speed of convergence of the series in Theorem 1 is determined by the individual terms in the sequence. For example, consider the series for $1/\alpha$:

$$\beta = \frac{1}{2} + \frac{1}{2} \sum_{k \ge 1} \frac{1}{k} \binom{k(n+1)}{k-1} \frac{1}{2^{k(n+1)}}.$$

The convergence depends upon the speed of convergence of

$$f_1(k,n)/2^{k(n+1)} := \frac{1}{k} \binom{k(n+1)}{k-1} \frac{1}{2^{k(n+1)}}.$$

Similarly define

$$f_2(k,n)/2^{k(n+1)} := \frac{1}{k} \binom{k(n+1)-2}{k-1} \frac{1}{2^{k(n+1)}}.$$

$$f_3(k,n)/2^{k(n+1)} := \frac{1}{k} \binom{k(n+1)}{k+1} \frac{1}{2^{k(n+1)}}$$

based on the expansion of α and $1/(2-\alpha)$.

Notice that, by Stirling's approximation, we have

$$\lim_{k \to \infty} \log_2(f_1(k, n))/k \doteq \lim_{k \to \infty} \log_2(f_2(k, n))/k$$
$$\doteq \lim_{k \to \infty} \log_2(f_3(k, n))/k$$
$$\doteq (n+1)\log(n+1) - n\log_2(n),$$

which, as $n \to \infty$, tends to

$$\log_2(n+1) + \frac{1}{\log(2)}.$$

Thus, for example, when n = 2 (corresponding to the Fibonacci case), we have

$$\log_2 f_i(k,n) \sim (3\log_2(3) - 2\log_2(2))k \sim (2.75489\cdots)k.$$

Since each term of the summation is of the form $f_i(k, n)/2^{k(n+1)}$, in the case n = 2, the k'th term is approximately $2^{-.24511k}$. Thus, for example, 1000 terms of the series are expected to give at least 73 correct digits; in fact, it gives 77 or 78 depending on the series. Here by digits of accuracy, we mean $\lfloor -\log_{10} | \arctan | \rfloor$, which is the number of correct decimal digits after the decimal point. See Table 2 for a summation of various predictions versus actual accuracy.

n	k	Predicted	Actual	Actual	Actual
		accuracy	accuracy (α)	accuracy $(1/\alpha)$	accuracy $(1/(2-\alpha))$
2	100	7	10	10	9
2	1000	73	78	78	77
2	10000	737	744	743	743
10	10	18	23	23	21
10	100	185	192	191	190
10	1000	1856	1864	1863	1862
100	2	55	87	86	83
100	10	279	311	311	307
100	100	2796	2830	2829	2826

Table 2: Predicted and actual accuracy of truncated series

We notice that convergence is much much faster for larger n.

Acknowledgment. The third author wishes to thank Jürgen Gerhard for his assistance with Maple and his suggestions about the problem.

References

- G. P. Dresden. A simplified Binet formula for k-generalized Fibonacci numbers. Preprint, November 27 2011. Available at http://arxiv.org/abs/0905.0304.
- [2] F. Dubeau. On r-generalized Fibonacci numbers. Fibonacci Quart. 27 (1989), 221–229.
- [3] M. Feinberg. Fibonacci-Tribonacci. Fibonacci Quart. 1 (3) (1963), 71–74.
- [4] P. Flajolet and R. Sedgewick. *Analytic Combinatorics*. Cambridge University Press, 2009.
- [5] M. Forsyth, A. Jayakumar, and J. Shallit. Remarks on privileged words. Preprint, November 28 2013. Available at http://arxiv.org/abs/1311.7403.
- [6] P. Y. Lin. De Moivre-type identities for the Tetrabonacci numbers. In Applications of Fibonacci Numbers, Vol. 4, Kluwer, 1991, pp. 215–218.
- [7] E. P. Miles, Jr. Generalized Fibonacci numbers and associated matrices. Amer. Math. Monthly 67 (1960), 745–752.
- [8] M. D. Miller. On generalized Fibonacci numbers. Amer. Math. Monthly 78 (1971), 1108–1109.
- [9] J. Sharp. Have you seen this number? *Math. Gazette* 82 (1998), 203–214.
- [10] W. R. Spickerman. Binet's formula for the Tribonacci sequence. Fibonacci Quart. 20 (1982), 118–120.
- [11] D. A. Wolfram. Solving generalized Fibonacci recurrences. *Fibonacci Quart.* 36 (1998), 129–145.
- [12] X. Zhu and G. Grossman. Limits of zeros of polynomial sequences. J. Comput. Anal. Appl. 11 (2009), 140–158.